

Approval Form 2016

COMPANY NAME: _____ (“Company”)

Please scan and submit the completed and executed Approval Form to RobecoSAM AG (“RobecoSAM”) either via e-mail to assessments@robecosam.com or by fax (+41 44 653 10 50). By sending back this Approval Form via e-mail or fax, Company accepts the risk of any misuse or abuse of such way of delivery and acknowledges that RobecoSAM is not liable for any misuse or abuse in connection therewith. If not willing to take such risk, please send back a signed original via post to RobecoSAM AG, Josefstrasse 218, 8005 Zurich, Switzerland no later than two weeks after the submission of the RobecoSAM Corporate Sustainability Assessment Questionnaire.

By signing this Approval Form, the signatory (with sole signatory authority and authorized to solely represent and legally bind the above mentioned company) or the signatories (with joint signatory authority and authorized to jointly represent and legally bind the abovementioned company) confirm(s) and agree(s) to the following on behalf of Company:

Confirmation of Truthful Company Statements and Documentation

The authorized signatory/signatories confirm(s) that the statements made in the RobecoSAM Corporate Sustainability Assessment Questionnaire as well as (if applicable) the contents of any additional information/documentation provided to RobecoSAM are true and complete to the best of his/her/their knowledge.

Acceptance of Dow Jones Sustainability Index Member Logo Guidelines

The authorized signatory/signatories confirm(s) to RobecoSAM as well as S&P Dow Jones Indices LLC (“SPDJI”) his/her/their agreement to comply with the Dow Jones Sustainability Index Member Logo Guidelines (“Guidelines”) concerning the use of the Dow Jones Sustainability Index Member Logo (“Member Logo”) as set forth herein. Provided that Company is/will be included in the Dow Jones Sustainability Index family and subject to execution and compliance with these Guidelines, Company has/will have the non-exclusive and revocable right to display the applicable Member Logo in materials Company produces only for so long as Company remains included in the Dow Jones Sustainability Index family. If Company is removed from the Index family for any reason, Company shall immediately remove all references in its promotional materials and all other materials which state that Company is a member of the Dow Jones Sustainability Index family and shall immediately cease any and all use of the Member Logo.

Dow Jones Sustainability Index Member Logo Guidelines:

- 1) Company shall reproduce the Member Logo from authorized artwork or files received from RobecoSAM or SPDJI.
- 2) The Member Logo may be used in Company's internal and external communications, but may not be used in conjunction with advertising for a specific product or service.
- 3) A Company's affiliates, such as its subsidiaries, may not use the Member Logo unless specific reference is made that the Member Logo refers to the index membership of the respective parent company.
- 4) Company shall maintain clear space between other logos, symbols, signatures, or copy.
- 5) Company shall not alter or modify the authorized artwork, including color specifications, clear space, position, cropping, or resizing the original proportion.
- 6) Company shall not place the Member Logo on a textured or heavily patterned background.
- 7) Company shall not use the Member Logo as part of a headline or other copy treatment.
- 8) Company shall not use the Member Logo in a containment graphic.
- 9) Company acknowledges and agrees that RobecoSAM or SPDJI reserve the right to revoke permission to use a Member Logo if RobecoSAM or SPDJI determine that Company's use of the Member Logo is not an appropriate use related to or consistent with Company's inclusion as a Dow Jones Sustainability Index family constituent company.
- 10) If Company elects to use the Member Logo, Company agrees to assume any risk that Company may, at any time, be removed as a constituent of the relevant Dow Jones Sustainability Index. Any such removal shall be within the sole discretion of RobecoSAM and SPDJI at all times.
- 11) Company acknowledges and agrees that (i) the Member Logo and the marks "Dow Jones" and "Dow Jones Sustainability Index" are and shall remain the exclusive property and part of the business and goodwill of SPDJI, RobecoSAM, their affiliates and/or licensors and nothing set forth herein shall transfer any interest in such property to Company; (ii) Standard & Poor's® and S&P® are registered trademarks of Standard & Poor's Financial Services LLC, Dow Jones® is a registered trademark of Dow Jones Trademark Holdings LLC and RobecoSAM® is a registered trademark of Robeco Holding B.V.; (iii) inclusion of a company within a Dow Jones Sustainability Index is not a recommendation to buy, sell, or hold such company, nor is it investment advice and (iv) SPDJI and RobecoSAM make no representation or warranty, and expressly disclaim any warranty, express or implied, and shall have no liability for any errors, omissions or interruptions of the Dow Jones Sustainability Indexes or the data related thereto.

Acceptance of Use of Information Policy and Disclaimer

The authorized signatory/signatories confirm(s) to RobecoSAM that he/she/they have read, understood and agreed to the following Use of Information Policy and Disclaimer:

By responding to the Corporate Sustainability Assessment (CSA), you provide RobecoSAM AG, including its related, affiliated and/or subsidiary companies (hereinafter referred to as “RobecoSAM”) with data (hereinafter referred to as “Data Points”), supporting documents and comments. Supporting documents and comments are kept confidential within RobecoSAM and will only be used to validate the Data Points as part of the assessment; for additional information please refer to the document “Confidentiality of Documents”. RobecoSAM will keep Data Points of individual companies confidential, but reserves the right to use Data Points for internal investment research purposes within RobecoSAM. The foregoing does not apply to Data Points related to direct greenhouse gas emissions, indirect greenhouse gas emissions, energy consumption, water use and waste generation, which RobecoSAM reserves the right to use in impact reporting to clients.

RobecoSAM uses its proprietary methodology to aggregate Data Points into numerical scores (hereinafter referred to as “Scores”). RobecoSAM will use the Scores for the purpose of defining and distributing index products as well as for the purpose of other activities, such as research, advisory, investment activities, and co-operations with third parties on investment product developments and offerings. RobecoSAM may also grant such use to third parties. Such grant of use to a third party will not include any publication right to publish such Scores in any way. However, RobecoSAM expressly reserves the right to provide such Scores to specific third party data publication platforms, with or without reference to the specific company. In addition, the Scores may be used to highlight sustainability leaders such as in the RobecoSAM Sustainability Yearbook and / or any other RobecoSAM publication.

By completing, signing, and submitting this Approval Form, you agree to the use of such information as specified herein and that such information may be transferred abroad whereas such transfer might also be to a jurisdiction which does not necessarily have equivalent data protection laws like Switzerland.

To the extent permitted by law, RobecoSAM, shall not be liable for any direct, indirect or consequential damages arising out of the use of such information. With regard to other important legal issues we refer to the disclaimer as stated on <https://assessments.robecosam.com/>.

This Approval Form and all three integral parts to it, as stated above, are governed by Swiss Law. Any disputes in connection with or arising out of this Approval Form are within the sole jurisdiction of Zurich, Switzerland.

The authorized signatory/signatories confirm(s) to have read, understood, and accepted RobecoSAM's Approval Form including all three parts of it "Confirmation of Truthful Company Statements and Documentation", "Acceptance of Dow Jones Sustainability Index Member Logo Guidelines", and "Acceptance of Use of Information Policy and Disclaimer" as indicated above.

Place/Date: _____

Signature: _____

Signature: _____

Name: _____

Name: _____

Title: _____

Title: _____