

UBS Group AG

FBN Diversified Financial Services and Capital Markets

Member of DJSI World, DJSI Europe

Company Information

Country	Switzerland
Market cap (USD million)*	66,781

*Source: S&P Global BMI, 31 July 2017

Company Description

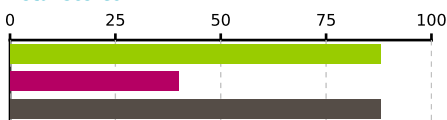
UBS Group is a financial services firm that provides comprehensive products and services to wealthy, institutional and corporate clients worldwide, as well as private clients in Switzerland. Founded in Switzerland in 1862, the company has offices in 52 countries and employs approximately 60,000 people worldwide. In 2016, the company net profit was CHF 3.2 billion. The operational structure of the Group is comprised of the corporate center and five business divisions: wealth management, wealth management Americas, personal and corporate banking, asset management and the investment bank. UBS is the preeminent universal bank in Switzerland, the only country where it operates in all five business areas. Its cross-divisional management approach allows for collaboration across business areas, providing clients with a diverse and comprehensive range of services and products via a variety of channels, including branches and digital services. UBS is the world's largest and only truly global wealth manager and is among the world's best-capitalized large global banks.

Industry Drivers

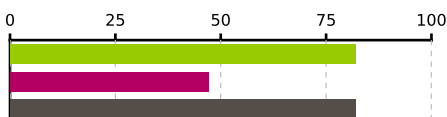
The diversified financial services and capital markets industry consists of a heterogeneous group of holding companies, credit agencies, stock exchanges, asset managers, custody banks, investment banks and brokerage companies. While sub-industry specific business models expose companies to different sustainability issues, common material themes include corporate governance, risk management, compliance and customer relationships. Many of the financial service providers within the sector are also increasingly managing confidential data. Therefore protecting customer's financial and personal data as well as preventing cybersecurity are crucial to maintaining client trust. Ongoing regulatory pressure, public outcry, publicized litigation and sizeable settlements, have sensitized many capital market companies to the very real threats posed by unethical business behavior. In turn, this is leading to greater scrutiny of potentially questionable practices and the re-shaping of corporate culture and employee behavior to more align with client needs and public interests.

Sustainability Scores

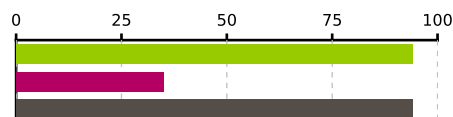
Total Scores



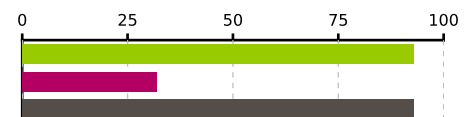
Economic Dimension



Environmental Dimension



Social Dimension



■ Industry best score ■ Industry average score ■ UBS Group AG

Sustainability Performance

UBS maintains its leading position in the Diversified Financials Industry. The company's sustainability efforts are directed through its UBS and Society program. This is a cross-divisional umbrella platform covering all activities and capabilities in sustainable investing and philanthropy, environmental and human rights policies, UBS's own environmental footprint, as well as the firm's community investment. The company offers a large choice of sustainable investment solutions to its clients, such as impact funds, long-term theme funds, renewable energy and cleantech financing, green bonds, eco-mortgages, or energy check-ups for SMEs. In 2016 for example, its impact investment business increased 228% compared to 2015. Finally, UBS also considers Human Capital Development as a key to the company's success, offering programs such as senior leadership experience, mid- and first-level line manager programs, and key talent development programs and evaluating the business impact of such training programs on a program level.

Company Performance for Selected Criteria



■ Industry best score ■ Industry average score ■ UBS Group AG
For more information on assessment criteria, please visit www.robecosam.com/csa

Disclaimer: For internal use only/Confidentiality: The information and opinions contained in this publication are for internal use only. This information is destined exclusively for internal use of the recipient. Any recipient shall treat this document as confidential and shall under no circumstances disclose or transmit any part of this document, including but not limited to the Index Data, the Components of the Index and the related descriptions, weightings, etc. to any third party. Furthermore the publication of the information as a whole is strictly prohibited even after the final publication of the Dow Jones Sustainability Indices. No Offer: The information and opinions contained in this publication neither constitute an offer nor an invitation to make an offer to buy or sell any securities or any options, futures or other derivatives related to such securities. The information described in this publication is not directed to persons in any jurisdiction where the provision of such information would run counter to local laws and regulation. No warranty: This information is derived from sources believed to be accurate and reliable, but neither its accuracy nor completeness is guaranteed. The material and information in this information is provided "as is" and without warranties of any kind, either expressed or implied. RobecoSAM and their related and affiliated companies disclaim all warranties, expressed or implied, including, but not limited to, implied warranties of merchantability and fitness for a particular purpose. Any opinions and views in this publication reflect the current judgment of the authors and may change without notice. It is each reader's responsibility to evaluate the accuracy, completeness and usefulness of any opinions, advice, services or other information provided in this publication. Limitation of liability: All information contained in this publication is distributed with the understanding that the authors, publishers and distributors are not rendering any legal, accounting or other professional advice or opinions on specific facts or matters and accordingly assume no liability whatsoever in connection with its use. In no event shall RobecoSAM and its related, affiliated and subsidiary companies be liable for any direct, indirect, special, incidental or consequential damages arising out of the use of any opinion or information expressly or implicitly contained in this publication. Copyright: Unless otherwise noted, text, images and layout of this publication are the exclusive property of RobecoSAM and/or its related, affiliated and subsidiary companies.