

RobecoSAM – Bloomberg Cooperation

Zurich, May 3 2016



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28.34

1.08

Introduction

RobecoSAM and Bloomberg team up to make the results of the Corporate Sustainability Assessment (CSA) available to the global investment community

RobecoSAM intends to make **the percentile rankings** of the scores of the CSA available to all licensed Bloomberg users.

- RobecoSAM intends to do this for the ongoing **2016 assessment cycle**
- The planned timing is after the announcement of the **2016 DJSI results**.

Bloomberg

BLOOMBERG ESG INTEGRATION

Company ESG data is integrated seamlessly into critical portfolio management and security analysis screens

BHP AU Equity 90 Output 97 Settings Relative Valuation

Comp Source GICS Region Global Curr AUD

Classification	Type	Metric	BHP	Low	Comp	Range	High
1) Materials	Sector	Est P/E Current Yr	106.50	8.56			380.24
2) Materials	Industry Group	Price/Book	1.52	0.44			26.61
3) Metals & Mining	Industry	Sales Growth Yo...	-21.36	-31.25			27.33
4) Diversified Metals & Mining	Sub Industry	EBITDA Margin (%)	46.56	-10.01			46.97
		T12M Sales Gro...	-36.13	-37.79			25.28

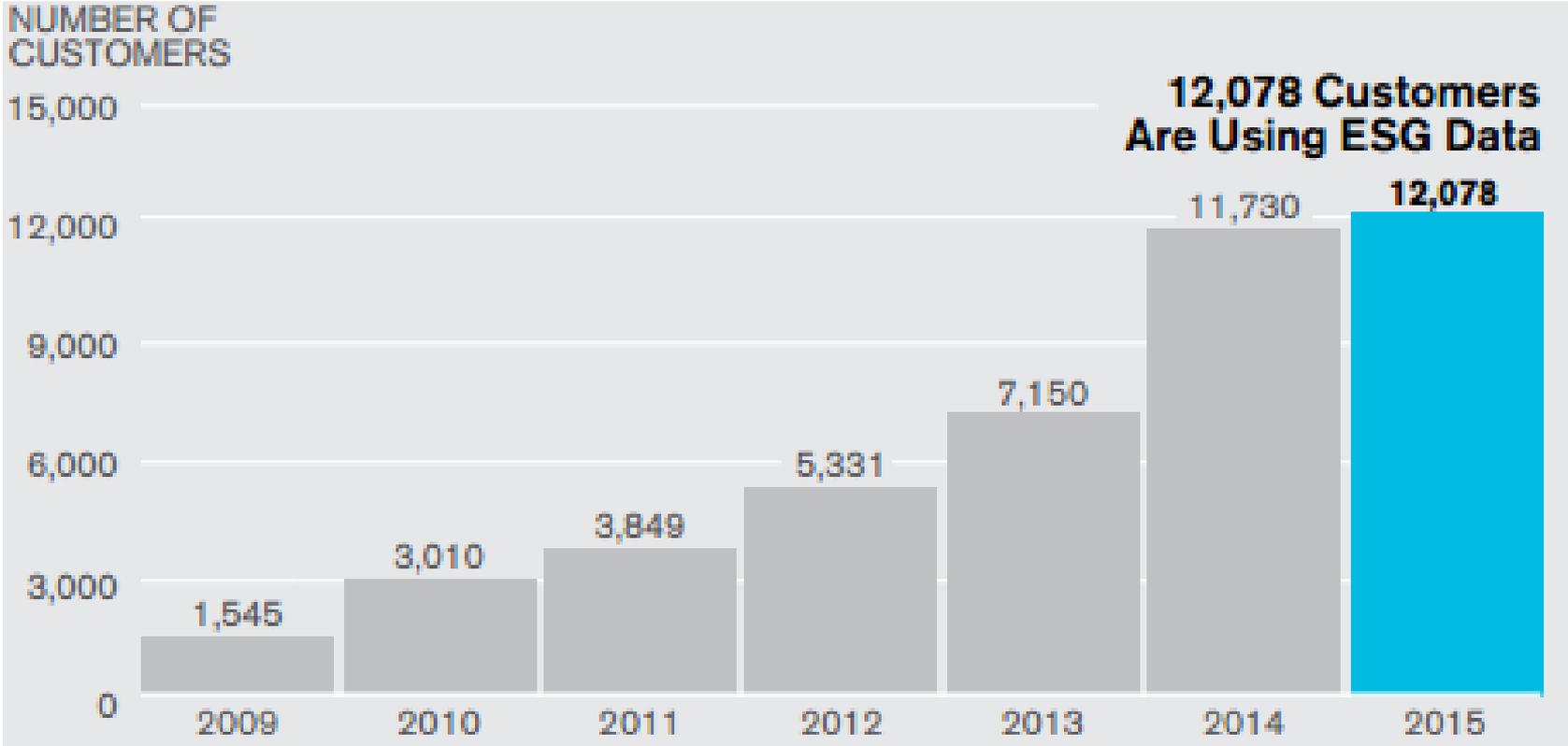
Showing Closest 50 Comps by Mkt Cap

Name	Sustainalytics ESG Rank	ISS Governance Quickscore	CDP Performance Score	ESG Disclosure Score	% Women on Board
Median	65.65	4.00	4.00	49.17	16.67%
Std. Deviation	24.20	2.73	0.89	13.75	9.68%
100) BHP BILLITON LIMITED	76.67	1.00	4.00	58.68	16.67%
101) BHP BILLITON PLC	78.79	1.00	4.00	58.68	16.67%
102) BASF SE	82.93	5.00	5.00	61.57	25.00%
103) SAUDI BASIC INDUST...	--	--	--	32.23	0.00%
104) RIO TINTO LTD	90.00	1.00	4.00	44.63	25.00%
105) RIO TINTO PLC	72.73	1.00	4.00	44.63	25.00%
106) DOW CHEMICAL CO/THE	62.20	4.00	4.00	57.44	15.38%
107) DU PONT (E.I.) DE N...	24.39	2.00	4.00	63.64	18.18%

(Accounting adjustments: Adjusted for abnormal items, when applicable)

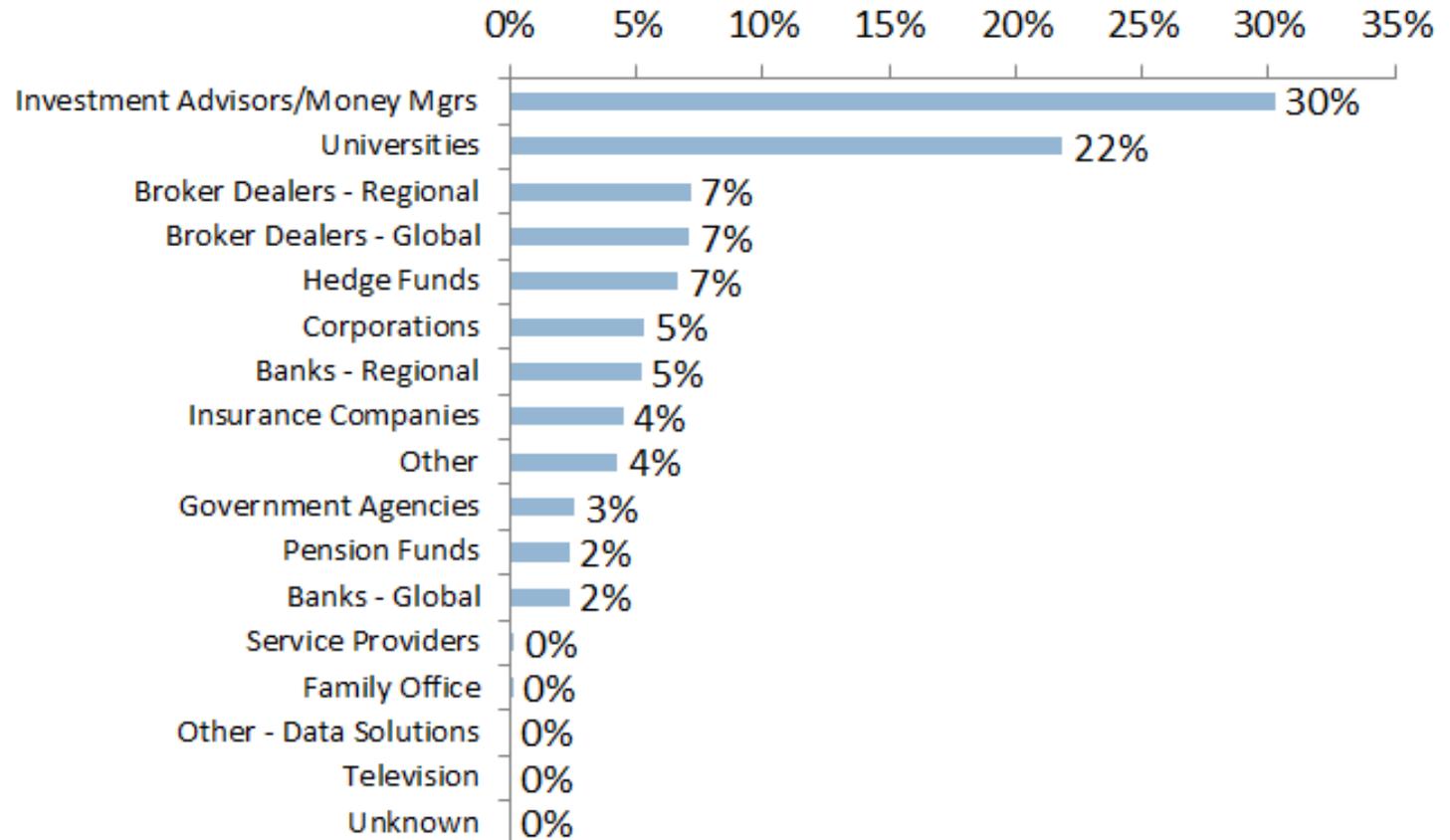


BLOOMBERG ESG DATA USERS



MAINSTREAM INVESTMENT USE CASE

Total Unique Users by Industry Description (YTD)



What information will RobecoSAM be sharing?

RobecoSAM intends on sharing the following:

- **Relative ranks** of companies within their industry (percentile ranks)
- **Percentile ranks at total, dimension and criteria level** as they appear on Company Benchmarking Scorecards
- **Additional research by RobecoSAM, CSA methodology guidance, PDF versions of all blank questionnaires, and additional documentation** will be shared through a separate research page, ensuring that the methodology is explained and context is given to the shared results

This information will be accessible by Bloomberg licensees through authorized Bloomberg software. RobecoSAM is doing this in order to increase the transparency of the results so that they are shared with as many investors as possible. RobecoSAM does not have any commercial interest in publishing the information.

What information will RobecoSAM not be sharing?

RobecoSAM will **NOT** share the following information:

- **Company answers, data points, comments, documents** or any other confidential information collected through the CSA. The **confidentiality of information** is covered by RobecoSAM's Use of Information Policy & Confidentiality of Documents Policy
- **Any historical results prior to 2016.** From 2016 onwards, the intention is to keep historical information for improved comparability

Why has RobecoSAM decided to this?

RobecoSAM wants to increase the relevance of the CSA to the broader investment community

- RobecoSAM would like to be more **transparent** about the results of the CSA – to **companies** and to **investors**, helping to improve the credibility and utility of the CSA
- **Companies** have increasingly informed RobecoSAM that they would like the results of the CSA to be more visible to investors. A survey conducted by RobecoSAM in the fall of 2015 showed that the vast majority of companies favored increased disclosure around CSA results.
- **Investors** increasingly would like to know more about the CSA beyond DJSI membership and the Sustainability Yearbook. As the leading sustainability assessment, we would like to make the results of the CSA available to a wider scope of users.

What are the benefits to companies?

Companies can benefit from increased use of the results of the CSA, beyond RobecoSAM's own investment products and the Dow Jones Sustainability Indices

- An increasing number of analysts access sustainability ratings and rankings through Bloomberg
- Companies can now demonstrate
 - Their leading **sustainability performance** to the global investment community – increasing the return on their investment into completing the CSA
 - That they **are among the most sustainable companies** within their industry
- Investor relations personnel can now more easily **answer questions** about a company's DJSI ranking when speaking to analysts and investors
- Sustainability officers can get **additional buy-in** from senior management in demonstrating the external benefits of the CSA in order to improve their sustainability practices

Benefits of Completing the Corporate Sustainability Assessment

Increased use of the results by a wide scope of investors.

- The scoring results of the assessment will now be shared via the leading information platform for financial professionals.
- The CSA scores are now integrated into a new series of benchmarks
 - **S&P ESG Index Series** (S&P 500®, SP& Europe 350, Topix 150, ASX 50, TSX 60)
 - The Economic Dimension score is used for S&P's new **Long-Term Value Creation Index**
- Through the CSA, companies are able to demonstrate their positive sustainability initiatives beyond what is found only in sustainability reports
- RobecoSAM's Benchmarking Scorecard allows benchmarking performance against your peers
- The CSA can be a key driver for realizing positive sustainability improvements at companies

RobecoSAM's Mission and Vision

Mission

Our mission is to drive sustainability thinking. We use financial markets as the most powerful transmission mechanism to promote sustainable business practices. With our exclusive focus on Sustainability Investing, we provide a unique and broad range of sustainability solutions for investors and corporates.

Vision

We are convinced that companies with sustainable business practices are more successful. Our vision is to set the standard in Sustainability Investing. As a thought leader, we contribute to this continuous development through our Sustainability Foresight.

This new collaboration with Bloomberg represents **an important step to deliver on our unique mission & vision through greater transparency**, wider use of the data, and a wider engagement with companies to improve their sustainability performance

Q&A

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