

Dow Jones Sustainability Indices In Collaboration with RobecoSAM

Effective as of 24 September 2018

Dow Jones Sustainability Emerging Markets Index

Company	Country	Industry Group	Comment
Mahindra & Mahindra Ltd	India	Automobiles & Components	
Tata Motors Ltd	India	Automobiles & Components	
Banco Bradesco SA	Brazil	Banks	
Banco Davivienda SA	Colombia	Banks	
Banco do Brasil SA	Brazil	Banks	
Bancolombia SA	Colombia	Banks	
CTBC Financial Holding Co Ltd	Taiwan	Banks	
E.Sun Financial Holding Co Ltd	Taiwan	Banks	
First Financial Holding Co Ltd	Taiwan	Banks	
Itau Unibanco Holding SA	Brazil	Banks	
Itausa - Investimentos Itau SA	Brazil	Banks	
Kasikornbank PCL	Thailand	Banks	
Nedbank Group Ltd	South Africa	Banks	
Siam Commercial Bank PCL	Thailand	Banks	Addition
Taishin Financial Holding Co Ltd	Taiwan	Banks	Addition
Turkiye Garanti Bankasi AS	Turkey	Banks	
Yes Bank Ltd	India	Banks	
Barloworld Ltd	South Africa	Capital Goods	
CTCI Corp	Taiwan	Capital Goods	
Embraer SA	Brazil	Capital Goods	
Sime Darby Bhd	Malaysia	Capital Goods	
WEG SA	Brazil	Capital Goods	
China Everbright International Ltd	China	Commercial & Professional Services	
Arcelik AS	Turkey	Consumer Durables & Apparel	
Minor International PCL	Thailand	Consumer Services	
Fubon Financial Holding Co Ltd	Taiwan	Diversified Financials	
Grupo de Inversiones Suramericana SA	Colombia	Diversified Financials	
Investec Ltd	South Africa	Diversified Financials	
Mahindra & Mahindra Financial Services Ltd	India	Diversified Financials	
Banpu PCL	Thailand	Energy	
IRPC PCL	Thailand	Energy	
PTT Exploration & Production PCL	Thailand	Energy	
PTT PCL	Thailand	Energy	
Thai Oil PCL	Thailand	Energy	
Almacenes Exito SA	Colombia	Food & Staples Retailing	
CP ALL PCL	Thailand	Food & Staples Retailing	
Charoen Pokphand Foods PCL	Thailand	Food, Beverage & Tobacco	
Coca-Cola Femsab SAB de CV	Mexico	Food, Beverage & Tobacco	
Grupo Nutresa SA	Colombia	Food, Beverage & Tobacco	
Thai Beverage PCL	Thailand	Food, Beverage & Tobacco	
Thai Union Group PCL	Thailand	Food, Beverage & Tobacco	
Netcare Ltd	South Africa	Health Care Equipment & Services	
Natura Cosmeticos SA	Brazil	Household & Personal Products	
Cathay Financial Holding Co Ltd	Taiwan	Insurance	
Sanlam Ltd	South Africa	Insurance	
Sul America SA	Brazil	Insurance	Addition
AngloGold Ashanti Ltd	South Africa	Materials	
China Steel Corp	Taiwan	Materials	
Fibria Celulose SA	Brazil	Materials	
Gold Fields Ltd	South Africa	Materials	
Grupo Argos SA/Colombia	Colombia	Materials	
Indorama Ventures PCL	Thailand	Materials	
Polymetal International PLC	Russian Federation	Materials	Addition
PTT Global Chemical PCL	Thailand	Materials	
Siam Cement PCL	Thailand	Materials	Addition
Tata Steel Ltd	India	Materials	
Grupo Televisa SAB	Mexico	Media	

Dow Jones Sustainability Indices In Collaboration with RobecoSAM

Effective as of 13 September 2018

Dow Jones Sustainability Emerging Markets Index

Company	Country	Industry Group	Comment
Dr Reddy's Laboratories Ltd	India	Pharmaceuticals, Biotechnology & Life Sciences	
Glenmark Pharmaceuticals Ltd	India	Pharmaceuticals, Biotechnology & Life Sciences	Addition
Ayala Land Inc	Philippines	Real Estate	
Central Pattana PCL	Thailand	Real Estate	
Growthpoint Properties Ltd	South Africa	Real Estate	
Parque Arauco SA	Chile	Real Estate	
Redefine Properties Ltd	South Africa	Real Estate	
Sime Darby Property Bhd	Malaysia	Real Estate	
Home Product Center PCL	Thailand	Retailing	
SACI Falabella	Chile	Retailing	
Woolworths Holdings Ltd/South Africa	South Africa	Retailing	
ASE Technology Holding Co Ltd	Taiwan	Semiconductors & Semiconductor Equipment	
Nanya Technology Corp	Taiwan	Semiconductors & Semiconductor Equipment	Addition
Taiwan Semiconductor Manufacturing Co Ltd	Taiwan	Semiconductors & Semiconductor Equipment	
Infosys Ltd	India	Software & Services	
Tech Mahindra Ltd	India	Software & Services	
Wipro Ltd	India	Software & Services	
Acer Inc	Taiwan	Technology Hardware & Equipment	
AU Optronics Corp	Taiwan	Technology Hardware & Equipment	
Delta Electronics Inc	Taiwan	Technology Hardware & Equipment	
Innolux Corp	Taiwan	Technology Hardware & Equipment	Addition
Lite-On Technology Corp	Taiwan	Technology Hardware & Equipment	
Chunghwa Telecom Co Ltd	Taiwan	Telecommunication Services	
Far EasTone Telecommunications Co Ltd	Taiwan	Telecommunication Services	
Taiwan Mobile Co Ltd	Taiwan	Telecommunication Services	
True Corp PCL	Thailand	Telecommunication Services	
Airports of Thailand PCL	Thailand	Transportation	
BTS Group Holdings PCL	Thailand	Transportation	Addition
China Airlines Ltd	Taiwan	Transportation	
DP World Ltd	United Arab Emirates	Transportation	
Grupo Aeroportuario del Centro Norte SAB de CV	Mexico	Transportation	
Aguas Andinas SA	Chile	Utilities	
Cia Energetica de Minas Gerais	Brazil	Utilities	
Enel Americas SA	Chile	Utilities	Addition
Enel Chile SA	Chile	Utilities	Addition
Grupo Energia Bogota SA ESP	Colombia	Utilities	
Interconexion Electrica SA ESP	Colombia	Utilities	

General Disclaimer

©2018 S&P Dow Jones Indices LLC, a division of S&P Global. All rights reserved. S&P, SPDR and S&P 500 are registered trademarks of Standard & Poor's Financial Services LLC, a division of S&P Global ("S&P"). DOW JONES is a registered trademark of Dow Jones Trademark Holdings LLC ("Dow Jones"). These trademarks together with others have been licensed to S&P Dow Jones Indices LLC. Redistribution, reproduction and/or photocopying in whole or in part are prohibited without written permission. This document does not constitute an offer of services in jurisdictions where S&P Dow Jones Indices LLC, Dow Jones, S&P or their respective affiliates (collectively "S&P Dow Jones Indices") do not have the necessary licenses. All information provided by S&P Dow Jones Indices is impersonal and not tailored to the needs of any person, entity or group of persons. S&P Dow Jones Indices receives compensation in connection with licensing its indices to third parties. Past performance of an index is not a guarantee of future results.

It is not possible to invest directly in an index. Exposure to an asset class represented by an index is available through investable instruments based on that index. S&P Dow Jones Indices does not sponsor, endorse, sell, promote or manage any investment fund or other investment vehicle that is offered by third parties and that seeks to provide an investment return based on the performance of any index. S&P Dow Jones Indices makes no assurance that investment products based on the index will accurately track index performance or provide positive investment returns. S&P Dow Jones Indices LLC is not an investment advisor, and S&P Dow Jones Indices makes no representation regarding the advisability of investing in any such investment fund or other investment vehicle. A decision to invest in any such investment fund or other investment vehicle should not be made in reliance on any of the statements set forth in this document. Prospective investors are advised to make an investment in any such fund or other vehicle only after carefully considering the risks associated with investing in such funds, as detailed in an offering memorandum or similar document that is prepared by or on behalf of the issuer of the investment fund or other investment product or vehicle. S&P Dow Jones Indices LLC is not a tax advisor. A tax advisor should be consulted to evaluate the impact of any tax-exempt securities on portfolios and the tax consequences of making any particular investment decision. Inclusion of a security within an index is not a recommendation by S&P Dow Jones Indices to buy, sell, or hold such security, nor is it considered to be investment advice. Closing prices for S&P Dow Jones Indices' US benchmark indices are calculated by S&P Dow Jones Indices based on the closing price of the individual constituents of the index as set by their primary exchange. Closing prices are received by S&P Dow Jones Indices from one of its third party vendors and verified by comparing them with prices from an alternative vendor. The vendors receive the closing price from the primary exchanges. Real-time intraday prices are calculated similarly without a second verification.

These materials have been prepared solely for informational purposes based upon information generally available to the public and from sources believed to be reliable. No content contained in these materials (including index data, ratings, credit-related analyses and data, research, valuations, model, software or other application or output therefrom) or any part thereof ("Content") may be modified, reverse-engineered, reproduced or distributed in any form or by any means, or stored in a database or retrieval system, without the prior written permission of S&P Dow Jones Indices. The Content shall not be used for any unlawful or unauthorized purposes. S&P Dow Jones Indices and its third-party data providers and licensors (collectively "S&P Dow Jones Indices Parties") do not guarantee the accuracy, completeness, timeliness or availability of the Content. S&P Dow Jones Indices Parties are not responsible for any errors or omissions, regardless of the cause, for the results obtained from the use of the Content. THE CONTENT IS PROVIDED ON AN "AS IS" BASIS. S&P DOW JONES INDICES PARTIES DISCLAIM ANY AND ALL EXPRESS OR IMPLIED WARRANTIES, INCLUDING, BUT NOT LIMITED TO, ANY WARRANTIES OF MERCHANTABILITY OR FITNESS FOR A PARTICULAR PURPOSE OR USE, FREEDOM FROM ERRORS OR DEFECTS. In no event shall S&P Dow Jones Indices Parties be liable to any party for any direct, indirect, incidental, exemplary, compensatory, punitive, special or consequential damages, costs, expenses, legal fees, or losses (including, without limitation, lost income or lost profits and opportunity costs) in connection with any use of the Content even if advised of the possibility of such damages.

Credit-related information and other analyses, including ratings, research and valuations are generally provided by licensors and/or affiliates of S&P Dow Jones Indices, including but not limited to S&P Global's other divisions such as Standard & Poor's Financial Services LLC and S&P Capital IQ LLC. Any credit-related information and other related analyses and statements in the Content are statements of opinion as of the date they are expressed and not statements of fact. Any opinion, analyses and rating acknowledgement decisions are not recommendations to purchase, hold, or sell any securities or to make any investment decisions, and do not address the suitability of any security. S&P Dow Jones Indices does not assume any obligation to update the Content following publication in any form or format. The Content should not be relied on and is not a substitute for the skill, judgment and experience of the user, its management, employees, advisors and/or clients when making investment and other business decisions. S&P Dow Jones Indices LLC does not act as a fiduciary or an investment advisor. While S&P Dow Jones Indices has obtained information from sources they believe to be reliable, S&P Dow Jones Indices does not perform an audit or undertake any duty of due diligence or independent verification of any information it receives. 57

To the extent that regulatory authorities allow a rating agency to acknowledge in one jurisdiction a rating issued in another jurisdiction for certain regulatory purposes, S&P Global Ratings Services reserves the right to assign, withdraw or suspend such acknowledgement at any time and in its sole discretion. S&P Dow Jones Indices, including S&P Global Ratings Services, disclaim any duty whatsoever arising out of the assignment, withdrawal or suspension of an acknowledgement as well as any liability for any damage alleged to have been suffered on account thereof.

Affiliates of S&P Dow Jones Indices LLC, including S&P Global Ratings Services, may receive compensation for its ratings and certain credit-related analyses, normally from issuers or underwriters of securities or from obligors. Such affiliates of S&P Dow Jones Indices LLC, including S&P Global Ratings Services, reserve the right to disseminate its opinions and analyses. Public ratings and analyses from S&P Global Ratings Services are made available on its Web sites, www.standardandpoors.com (free of charge), and www.ratingsdirect.com and www.globalcreditportal.com (subscription), and may be distributed through other means, including via S&P Global Rating Services publications and third-party redistributors. Additional information about our ratings fees is available at www.standardandpoors.com/usratingsfees.

S&P Global keeps certain activities of its various divisions and business units separate from each other in order to preserve the independence and objectivity of their respective activities. As a result, certain divisions and business units of S&P Global may have information that is not available to other business units. S&P Global has established policies and procedures to maintain the confidentiality of certain non-public information received in connection with each analytical process.

Additional Disclaimer

No warranty: This publication is derived from sources believed to be accurate and reliable, but neither its accuracy nor completeness is guaranteed. The material and information in this publication are provided "as is" and without warranties of any kind, either expressed or implied. RobecoSAM AG and its related, affiliated and subsidiary companies disclaim all warranties, expressed or implied, including, but not limited to, implied warranties of merchantability and fitness for a particular purpose. Any opinions and views in this publication reflect the current judgment of the authors and may change without notice. It is each reader's responsibility to evaluate the accuracy, completeness and usefulness of any opinions, advice, services or other information provided in this publication.

Limitation of liability: All information contained in this publication is distributed with the understanding that the authors, publishers and distributors are not rendering legal, accounting or other professional advice or opinions on specific facts or matters and accordingly assume no liability whatsoever in connection with its use. In no event shall RobecoSAM AG and its related, affiliated and subsidiary companies be liable for any direct, indirect, special, incidental or consequential damages arising out of the use of any opinion or information expressly or implicitly contained in this publication.

Copyright: Unless otherwise noted, text, images and layout of this publication are the exclusive property of RobecoSAM AG and/or its related, affiliated and subsidiary companies and may not be copied or distributed, in whole or in part, without the express written consent of RobecoSAM AG or its related, affiliated and subsidiary companies.

No Offer: The information and opinions contained in this publication constitute neither a solicitation, nor a recommendation, nor an offer to buy or sell investment instruments or other services, or to engage in any other kind of transaction. The information described in this publication is not directed to persons in any jurisdiction where the provision of such information would run counter to local laws and regulation.

Copyright © 2018 RobecoSAM AG